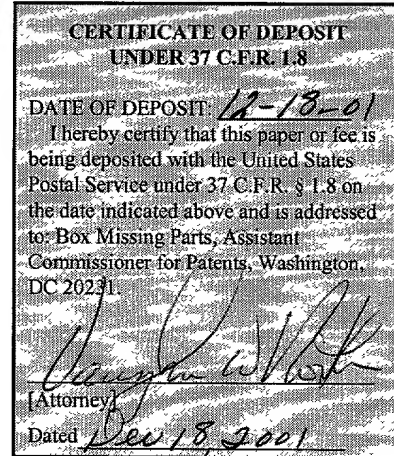


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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James J. Croft, III
SERIAL NO.: 09/954,636
FILED: September 14, 2001
FOR: Bandpass Woofer Enclosure with
Multiple Acoustic Filters
ART UNIT:
EXAMINER:
CONFIRMATION NO. 7553
DOCKET NO.: T8534.CIP



RESPONSE TO NOTICE TO FILE MISSING PARTS OF
PATENT APPLICATION Filing Date Granted

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- ☒ An Assignment from the inventors to American Technology Corporation, with cover sheet.
- ☒ A Verified Statement Claiming Small Entity Status signed by an officer of American Technology Corporation.
- ☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.
- ☒ Combined inventor Declaration and Petition.



- ☒ Power of Attorney.
- ☐ Prior Art Statement, Form PTO-1449, and copies of cited prior art.
- ☒ 5 sheets of substitute drawings in compliance with 37 CFR 1.84.
- ☒ Check in the amount of \$820.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370		\$
TOT. CLAIMS	-20 =	15	x 9 =	\$135	x 18 =	\$
IND. CLAIMS	-03 =	5	x 42 =	\$210	x 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			\$280		\$280	
ASSIGNMENT FILING FEE			\$ 40	\$ 40	\$ 40	
SURCHARGE FEE				\$ 65		
			TOTAL	\$820	TOTAL	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.



Assistant Commissioner for Patents
Page 3

Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 18 day of Dec, 2001.

Respectfully submitted,

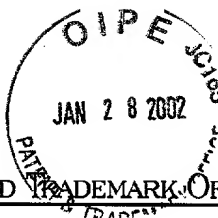
Vaughn W. North
Attorney for Applicant
Registration No. 27,930
THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

VWN:ll
Enclosures

[H:\FILES\T8000\T8534\T8534-CIP\Missing Parts Response.wpd]

2001 DEC 18 10:00 AM

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/954,636	09/14/2001	James J. Croft III	T8534.CIP

CONFIRMATION NO. 7553

FORMALITIES LETTER



OC000000006995039

Attn: Vaughn W. North
THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
SANDY, UT 84091-1219

Date Mailed: 10/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/30/2002 HTECKLU1 00000036 09954636

FILED UNDER 37 CFR 1.53(b)

01 FC#201	370.00 OP
02 FC#203	135.00 OP
03 FC#202	210.00 OP
04 FC#205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$670.
 - \$270 for 15 total claims over 20.
 - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- **The balance due by applicant is \$ 1510.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE